



Attorney Docket No. 42390.P13768

Patent

2664  
#<sup>RS</sup>  
13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6-19-04

In re Patent Application of:

David M. Lee et al.

Application No. 10/040,702

Filed: December 28, 2001

For: **METHOD FOR HANDLING  
UNEXPECTED COMPLETION  
PACKETS AND COMPLETION  
PACKETS WITH A NON-SUCCESSFUL  
COMPLETION STATUS**

Examiner: Chirag G. Shah

Art Unit: 2664

**RECEIVED**

JUN 14 2004

Technology Center 2600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the document(s) cited on that form. It is respectfully requested that the cited document(s) be considered, and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

06/08/2004 TBESHAH1 00000079 10040702

01 FC:1806

180.00 OP

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

By: Anne Collette  
Anne Collette

Date: 6/14/2004

This IDS is being submitted on the same date as an Electronic IDS, a copy of which is attached hereto.

Pursuant to 37 C.F.R. § 1.97, this IDS is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

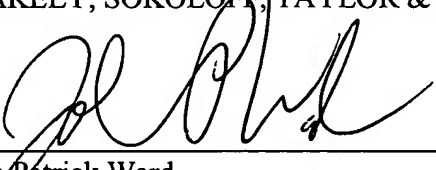
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/26/09

  
\_\_\_\_\_  
John Patrick Ward  
Reg. No. 40,216

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1030  
(408) 720-8300

Substitute for Form 1449A/PTO (Modified)		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	10/040,702
		Filing Date	December 28, 2001
		First Named Inventor:	David M. Lee
		Group Art Unit	2664
		Examiner Name	Chirag G. Shah
Sheet 1 of	Attorney Docket Number	42390.P13768	

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			

RECEIVED

JUN 14 2004

Technology Center 2600

### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>a</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		EP	0 884 880	A2	Sony Corporation	12-16-1998		
		EP	0 967 757	A2	Sony Corporation	12-29-1999		

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		MITRA, N., "Efficient Encoding Rules for ASN/1-Based Protocols", AT&T Technical Journal, Vol. 73, No. 3, New York, US, pp. 80-93 (May/June 1994).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.